



CRAFT CAPITAL MANAGEMENT LLC

Form CRS

Craft Capital Management LLC (“Craft”), Customer Relationship Summary – Effective October 22, 2021

Introduction	Craft is registered with the Securities and Exchange Commission (SEC) as a broker- dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Brokerage and investment advisory services and fees differ and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS , which also provides educational materials aboutbroker-dealers, investment advisers, and investing. You can find additional information about us on the Financial Industry Regulatory Authority’s (FINRA) website located at www.finra.org/brokercheck .
What investment services and advice can you provide me?	We offer brokerage services to retail investors, including buying and selling securities. Please be advised that we offer no proprietary products. While we offer recommendations with respect to investment products, you make the ultimate decision regarding the purchase or sale of investments. In addition, we do not offer investment monitoring services pursuant to any specific schedule and we do not hold custody of client assets. You do not need to have a minimum amount to invest to open a brokerage account with us, but some of the investments you may purchase through us have minimum investment requirements. We do not allow any of our registered representatives to maintain any discretionary accounts. However, we do accept limited trading authority only when it is requested directly by the customer. For more information regarding minimum investment requirements, please refer to the prospectus or offering documents for your investment. Please be advised that investment and insurance products offered through Craft are not insured by the FDIC or any other federal government agency, are not deposits or other obligations of, or guaranteed by, a bank or any bank affiliate, and are subject to investment risks, including possible loss of the principal amount invested. <u>Questions to ask your Broker:</u> Given my financial situation, should I choose a brokerage service? Why or why not?How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and otherqualifications? What do these qualifications mean?
What fees will I pay?	You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. The primary fees you will pay to us are transaction-based fees. An overwhelming majority of the fees generated by us are on secondary market transactions and participation in selling group and private placement syndicate transactions. These fees vary depending on the investment product you select, the size of your transaction, and are charged up-front when you purchase or sell the investment. Commissions on secondary market transactions are generally negotiated at the time of the transaction with your broker. The selling group fee is set by the issuers lead underwriter. Similarly, the private placement fee is determined by the lead placement agent. These fees are all calculated and set in accordance with all SEC and FINRA rules and regulations. Because we are compensated for these transactions, we have a conflict of interest to encourage you to invest in products that pay us greater compensation than those that pay us less and to trade more frequently and in greater volume.



CRAFT CAPITAL MANAGEMENT LLC

	<p>In addition to the foregoing fees, our clearing and custody partner, RBC Capital Markets, LLC may assess certain fees associated with your brokerage account. For a schedule of fees charged by RBC CS you may visit www.investor-connect.com.</p> <p>Questions to ask your Broker: Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?</p>
<p>What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have</p>	<p>When we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendation(s) we provide you. Here are some examples to let you know what that means.</p> <p>Revenue Sharing - Certain managers and sponsors (or their affiliates) share the revenue they earn when you invest in certain of their investment products with Craft. This occurs primarily through our participation in selling groups in public financings and as a placement agent in private placements. As such, we have an incentive to recommend products of sponsors and fund managers that share their revenue with us, over other products of sponsors or fund managers that do not or who share less.</p> <p>Questions to ask your Broker: How might your conflicts of interest affect me, and how will you address them? For additional information, please see please refer to the prospectus or offering documents for your investment.</p>
<p>How do your financial professionals make money</p>	<p>Craft's brokers are compensated based upon a percentage of fees, commissions, and similar compensation paid to our firm by the clients of the broker. They are compensated pursuant to a payout schedule that is set when they are hired. More specifically, they are paid an agreed upon percentage of gross commission/concession/fees that are generated on each type of investment product sold. As the amount of commissions and fees paid by a client increase or decrease, the compensation paid by to the client's broker increases or decreases.</p>
<p>Do you or your financial professionals have legal or disciplinary history?</p>	<p>Yes, our brokers have legal or disciplinary histories. Please visit Investor.gov/CRS for a free and simple search tool to research us and our financial advisors. You may research your financial advisor's experience and licenses on FINRA's BrokerCheck website at brokercheck.finra.org/. Visit Investor.gov/CRS for free and simple search tool to research us and our financial professionals.</p> <p>Questions to ask your Broker: As a financial professional, do you have any disciplinary history? For what type of conduct?</p>
<p>Additional Information</p>	<p>For additional information about our services, visit our website, www.craftcm.com or contact our Chief Compliance Officer, Andrew Shubert, at 516-833-1325, Ext. 212 or ashubert@craftcm.com. If you would like additional, up-to-date information or a copy of this disclosure, please call 516-833-1325, Ext. 212.</p> <p>Questions to Ask your Broker: Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me and my investments?</p>